

Form PTO-1449 (modified)

Atty. Docket No. 47171-00267USC1

Serial No. 09/541,170

List of Patents and Publications for Applicant's

7<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT

Applicant  
Lars R. Stromme *et al.*

Filing Date:  
April 3, 2000

Group: 3652

Page 1 of 10

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
ms	A95	US2001-0019624A1	09/01	Raterman et al.	382	135	
	A96	US2002-0001393A1	01/02	Jones et al.	382	100	
	A97	US2002-0020603A1	02/02	Jones et al.	194	346	
	A98	US2002-0056605A1	05/02	Mazur et al.	194	207	
	A99	US2002-0085245A1	07/02	Mennie et al.	358	498	
	A100	US2002-0085745A1	07/02	Jones et al.	382	135	
	A101	US2002-0103757A1	08/02	Jones et al.	705	45	
	A102	US2002-0104785A1	08/02	Klein et al.	209	534	
	A103	US2002-0107801A1	08/02	Jones et al.	705	45	
	A104	US2002-0118871A1	08/02	Jones et al.	382	137	
	A105	US2002-0122580A1	09/02	Jones et al.	382	137	
	A106	US2002-0126885A1	9/02	Mennie et al.	382	135	
	A107	US2002-0126886A1	09/02	Jones et al.	382	135	
	A108	US2002-0131630A1	09/19/02	Jones et al.	382	137	
	A109	US2002-0136442A1	09/26/02	Jones et al.	382	135	
	A110	US2002-0145035A1	10/10/02	Jones	235	379	
	A111	US2002-0154804A1	10/24/02	Jones et al.	382	135	
	A112	US2002-0154805A1	10/24/02	Jones et al.	382	135	
	A113	US2002-0154806A1	10/24/02	Jones et al.	382	135	
	A114	US2002-0154807A1	10/24/02	Jones et al.	382	135	
	A115	US2002-0154808A1	10/24/02	Jones et al.	382	135	
	A116	US2003-0009420A1	01/09/03	Jones	705	39	
	A117	US2003-0015395A1	01/23/03	Hallowell et al.	194	206	
	A118	US2003-0015396A1	01/23/03	Mennie	194	206	
	A119	US2003-0062242A1	04/03/03	Hallowell et al.	194	302	
	A120	DES 369,984	05/96	Larsen	D10	97	
ms	A121	3,246,295	04/66	DeClaris et al.	382	56	

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)

Atty. Docket No. 47171-00267USC1

Serial No. 09/541,170

Applicant Lars R. Stromme *et al.*

Filing Date: April 3, 2000

Group: 3652

Page 2 of 10

7<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT

ms	A122	3,280,974	10/66	Riddle et al.	209	111.8	
	A123	3,480,785	11/69	Aufderheide	250	219	
	A124	3,496,370	02/70	Haville et al.	250	219	
	A125	3,509,535	04/70	Berube	340	149	
	A126	3,612,835	10/71	Andrews et al.	235	61.11D	
	A127	3,679,314	07/72	Mustert	356	71	
	A128	3,778,628	12/73	Novak et al.	250	556	
	A129	3,870,629	03/75	Carter et al.	209	111.8	
	A130	3,906,449	09/75	Marchak	340	146.3R	
	A131	3,976,198	08/76	Carnes Jr. et al.	209	111.7T	
	A132	4,041,456	08/77	Ott et al.	340	146.3R	
	A133	4,096,991	06/78	Iquchi	235	419	
	A134	4,114,804	09/78	Jones et al.	235	476	
	A135	4,147,430	04/79	Gorgone et al.	356	51	
	A136	4,179,685	12/87	O'Maley	340	146.3	
	A137	4,255,651	03/81	Phillips	235	92	
	A138	4,275,874	06/81	DiBlasio	271	187	
	A139	4,283,708	08/91	Lee	340	146.3	
	A140	4,288,781	09/81	Sellner et al.	340	146.3	
	A141	4,302,781	11/91	Ikeda et al.	358	486	
	A142	4,311,914	01/82	Huber	250	556	
	A143	4,334,619	06/82	Horino et al.	209	551	
	A144	4,348,656	09/82	Gorgone et al.	340	146.3R	
	A145	4,349,111	09/82	Shah et al.	209	534	
	A146	4,355,300	10/82	Weber	340	146.3C	
	A147	4,356,473	10/82	Freudenthal	340	146.3H	
	A148	4,381,447	04/83	Horvath et al.	250	223	
ms	A149	4,386,432	05/83	Nakamura et al.	382	7	

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

Atty. Docket No. 47171-00267USC1

Serial No. 09/541,170

List of Patents and Publications for Applicant's

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Lars R. Stromme *et al.*

Filing Date:  
April 3, 2000

Group: 3652

Page 3 of 10

ms	A150	4,442,541	04/84	Finkel et al.	382	7	
	A151	4,461,028	07/84	Okubo	382	15	
	A152	4,464,786	09/84	Nishito et al.	382	15	
	A153	4,464,787	08/84	Fish et al.	382	7	
	A154	4,487,306	12/84	Nao et al.	382	135	
	A155	4,490,846	12/84	Ishida et al.	382	7	
	A156	4,513,439	04/85	Gorgone et al.	382	7	
	A157	4,532,641	07/85	Nishimura	377	14	
	A158	4,539,702	09/85	Oka	382	7	
	A159	4,542,829	09/85	Emery	209	534	
	A160	4,547,896	10/85	Ohtombe et al.	382	318	
	A161	4,556,140	05/86	Okada	194	4	
	A162	4,559,452	12/85	Igaki et al.	250	560	
	A163	4,563,771	01/86	Gorgone et al.	382	7	
	A164	4,567,370	01/86	Falls	250	461.1	
	A165	4,587,412	05/86	Apisdorf	235	449	
	A166	4,587,434	05/86	Roes et al.	250	556	
	A167	4,592,090	05/86	Curl et al.	382	7	
	A168	4,593,184	06/86	Bryce et al.	235	449	
	A169	4,611,345	09/86	Ohnishi et al.	382	7	
	A170	4,628,194	12/86	Dobbins et al.	235	379	
	A171	4,645,936	02/87	Gorgone	250	556	
	A172	4,653,647	03/87	Hashimoto	209	534	
	A173	4,677,682	06/87	Miyaqawa et al.	382	7	
	A174	4,681,229	07/87	Uesaka et al.	209	534	
	A175	4,694,963	09/87	Takesako	209	534	
	A176	4,697,071	09/87	Hiroaka et al.	235	379	
ms	A177	4,700,368	10/87	Munn et al.	377	8	

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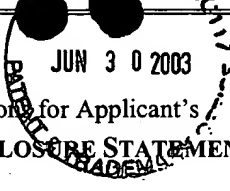
Page 4 of 10

mb	A178	4,733,308	03/88	Nakamura et al.	358	496	
	A179	4,747,492	05/88	Saito et al.	209	534	
	A180	4,823,393	04/89	Kawakami	382	7	
	A181	4,827,531	05/89	Milford	382	7	
	A182	4,881,268	11/89	Uchida et al.	382	7	
	A183	4,973,851	11/90	Lee	250	556	
	A184	4,992,109	05/90	Bercovitz et al.	271	556	
	A185	4,992,860	02/91	Hamaquchi et al.	358	75	
	A186	4,996,604	02/91	Oqawa et al.	358	486	
	A187	5,047,871	09/91	Meyer et al.	358	486	
	A188	5,068,519	11/91	Bryce	235	449	
	A189	5,076,441	12/31/91	Gerlier	209	534	
	A190	5,163,672	11/92	Mennie	271	187	
	A191	5,201,395	04/93	Takizawa et al.	194	206	
	A192	5,207,788	05/93	Geib et al.	271	122	
	A193	5,236,072	08/93	Cargill	194	207	
	A194	5,295,196	03/94	Rateman et al.	382	7	
	A195	5,430,664	07/95	Cargill et al.	364	550	
	A196	5,467,405	11/95	Rateman et al.	382	135	
	A197	5,467,406	11/95	Graves et al.	382	135	
	A198	5,577,589	11/26/96	Garcia Tinoco	194	204	
	A199	5,633,949	05/97	Graves et al.	382	135	
	A200	5,640,463	06/97	Csulits	382	135	
	A201	5,652,802	07/97	Graves et al.	382	135	
	A202	5,680,472	10/21/97	Conant	382	135	
	A203	5,687,963	11/97	Mennie	271	119	
	A204	5,692,067	4/97	Rateman et al.	382	135	
	A205	5,704,491	1/98	Graves	209	534	
mb	A206	5,724,438	3/98	Graves	382	135	

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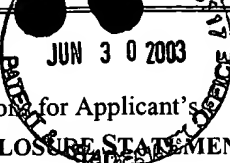


Form PTO-1449 (modified)  List of Patents and Publications for Applicant's <b>7<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT</b>  Page 5 of 10	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Atty. Docket No. 47171-00267USC1</td> <td style="width: 50%;">Serial No. 09/541,170</td> </tr> <tr> <td colspan="2">Applicant Lars R. Stromme <i>et al.</i></td> </tr> <tr> <td>Filing Date: April 3, 2000</td> <td>Group: 3652</td> </tr> </table>	Atty. Docket No. 47171-00267USC1	Serial No. 09/541,170	Applicant Lars R. Stromme <i>et al.</i>		Filing Date: April 3, 2000	Group: 3652
Atty. Docket No. 47171-00267USC1	Serial No. 09/541,170						
Applicant Lars R. Stromme <i>et al.</i>							
Filing Date: April 3, 2000	Group: 3652						

ms	A207	5,751,840	5/98	Rateman et al.	382	135	
	A208	5,790,693	8/98	Graves, et al.	382	135	
	A209	5,790,697	8/98	<del>Munro</del> Jones et al.	382	135	
	A210	5,806,650	9/98	Mennie et al.	194	206	
	A211	5,815,592	9/98	Mennie et al.	382	135	
	A212	5,822,448	10/98	Graves et al.	382	135	
	A213	5,832,104	11/98	Graves et al.	382	135	
	A214	5,867,589	2/99	Graves et al.	382	135	
	A215	5,870,487	2/99	Graves et al.	382	135	
	A216	5,875,259	2/99	Mennie et al.	382	135	
	A217	5,905,810	5/99	Jones et al.	382	135	
	A218	5,909,502	06/01/99	Mazur	382	135	
	A219	5,909,503	06/01/99	Graves et al.	382	135	
	A220	5,938,044	08/17/99	Weggesser	209	534	
	A221	5,940,623	08/17/99	Watts et al.	395	712	
	A222	5,943,655	08/24/99	Jacobsen	705	30	
	A223	5,960,103	09/28/99	Graves et al.	382	135	
	A224	5,966,456	10/12/99	Jones et al.	382	135	
	A225	5,982,918	11/09/99	Mennie et al.	382	135	
	A226	6,012,564	01/11/00	Mukai	194	206	
	A227	6,026,175	02/15/00	Rateman et al.	382	135	
	A228	6,028,951	02/00	Rateman et al.	382	135	
	A229	6,039,645	03/21/00	Mazur	453	10	
	A230	6,068,194	05/00	Mazur	235	492	
	A231	6,072,896	06/00	Graves et al.	382	135	
	A232	6,074,334	06/00	Mennie et al.	493	438	
	A233	6,234,294	05/22/01	Defeo et al.	194	207	
	A234	6,398,000	06/02	Jenrick et al	194	200	
	A235	6,459,806	10/01/02	Rateman et al.	382	135	
ms	A236	6,460,705	10/08/02	Hallowell	209	534	

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Form PTO-1449 (modified)  List of Patents and Publications for Applicant's <b>7<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT</b>  Page 6 of 10	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">           Atty. Docket No.            47171-00267USC1         </td> <td style="width: 50%;">           Serial No.            09/541,170         </td> </tr> <tr> <td colspan="2">           Applicant            Lars R. Stromme <i>et al.</i> </td> </tr> <tr> <td>           Filing Date:            April 3, 2000         </td> <td>           Group: 3652         </td> </tr> </table>	Atty. Docket No. 47171-00267USC1	Serial No. 09/541,170	Applicant Lars R. Stromme <i>et al.</i>		Filing Date: April 3, 2000	Group: 3652
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ms	A237	6,493,461	12/10/02	Mennie et al.	382	135	
ms	A238	6,539,104	03/25/03	Rateman et al.	382	135	
ms	A239	6,560,355	05/06/03	Graves et al.	382	135	

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
ms	I22	DE 2659929C3	11/77	Germany	G07D	7/00	
1	I23	DE 2935668 C2	09/79	Germany	G07D	7/00	Yes
	I24	EP 0077464A2	09/82	EPO	G03H	1/08	Yes
	I25	EP 0130824 A2	06/84	Europe	B65H	7/14	N/A
	I26	EP 0130825 A2	06/84	Europe	B65H	7/14	N/A
	I27	EP 0132329 A2	06/84	Europe	B65H	3/06	N/A
ms	I28	EP 0206675 B1	06/86	Europe	G07D	7/00	N/A
ms	I29	EP 0253935 A2	10/86	Europe	G07K	7/10	N/A
ms	I30	EP 0264125 A1	10/87	Europe	G07D	7/00	N/A
ms	I31	EP 0342647A2	05/89	EPO	G07D	7/00	
ms	I32	EP 0613107 A1	08/94	Europe	G07F	19/00	
ms	I33	EPA 0338123	10/89	European	G07D	7/00	
ms	I34	EPA 0077464	04/83	European	G03H	1/08	
	I35	EPA 0342647	11/89	European			
ms	I36	GB 2,038,063 A	07/80	Great Britain	G07D	7/00	N/A
ms	I37	GB 2,061,232 A	05/81	Great Britain	B65H	7/06	N/A
ms	I38	GB 2,119,138 A	11/83	Great Britain	G06M	3/02	N/A
ms	I39	JP 2-12492	02/90	Japan	G07D	7/00	Yes (see J178)
1	I40	JP 2-22786	01/90	Japan	G07D	3/00	Abstract Only
	I41	JP 5-11355	07/93	Japan	G06M	8/02	Abstract Only
ms	I42	JP 54-60999	06/79	Japan	G07D	7/00	Yes (see J179)
ms	I43	JP 54-71673	6/8/79	Japan	G06M	7/06	Yes (see J180)
ms	I44	JP 54-71674	6/8/79	Japan	G06M	7/06	Yes (see J181)
ms	I45	JP 55-52538	12/80	Japan	G07D	7/00	Yes (see J182)

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Page 7 of 10

W3	I46	JP 56-136689	10/26/81	Japan	B07C	3/08	Yes (see J141 and 169)
W3	I47	JP 56-16287	02/81	Japan	G07D	9/00	Abstract Only
W3	I48	JP 58-139296	08/83	Japan	G07D	7/00	Abstract Only
W3	I49	JP 59-186079	10/84	Japan	G07D	7/00	Abstract Only
W3	I50	JP 59-231692	12/84	Japan	G07D	7/00	Abstract Only
W3	I51	JP 60-191379	09/85	Japan	G07D	7/00	Abstract Only
W3	I52	JP 60-215293	11/28/85	Japan	G07D	7/00	Abstract Only
W3	I53	JP 61-14557	4/19/86	Japan	G06M	7/06	Yes (J141 and J166)
W3	I54	JP 61-41439	9/16/86	Japan	G06M	7/06	Yes (see J184)
W3	I55	JP 61-82290	04/25/86	Japan	G07D	7/00	Abstract Only
W3	I56	JP 62-220843	09/87	Japan	G01N	21/89	Abstract Only
W3	I57	JP 63-271687	11/88	Japan	G07D	7/00	Abstract Only
W3	I58	JP 63-276688	11/88	Japan	G07D	7/00	Yes (see J186)
W3	I59	JP 63-91794	04/88	Japan	G06M	9/00	Abstract Only
W3	I60	JP 64-064090	03/89	Japan	G07D	7/00	Abstract Only
W3	I61	WO 81/02111	04/81	PCT	G06K	9/68	N/A
W3	I62	WO 91/11778	08/91	International (PCT)	G06K	9/00	N/A
W3	I63	WO 92/17394	10/92	International (PCT)	B65H	3/06	N/A
W3	I64	WO 93/23824	11/93	International (PCT)	G06K	9/00	N/A
W3	I65	WO 95/24691	09/95	International (PCT)	G06K	9/00	N/A
W3	I66	WO 96/10800	04/96	International (PCT)	G06K	9/00	N/A
W3	I67	WO 97/30422	02/97	International (PCT)	G07D	7/00	N/A

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	I118	AFB Currency Recognition System (1982)
	I119	Banking Machine Digest No. 31 (last page of J138 translation has a date of 12/5/88) (Japanese)
	I120	Billcon D-202, D204 Operator's Manual (cover marked 611215) (Japanese) (see J129, J168 and J171 below) (undated)
W3	J121	Billcon D-202/204 Service Manual (cover marked 630229) (Japanese) (see J174 below) (undated)
W3	J122	Billcon: 38 <sup>th</sup> Banking Uniform Show (1 page) and translation (2 pages) (1998)

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Page 8 of 10	Filing Date: April 3, 2000	Group: 3652

WBS	J123	Billcon: D212 - Odd Bill Detecting Bill Counter - Instruction Manual, 17 pages, Japanese and - translation (page 17 dated 1994) -
WBS	J124	Billcon: D212 Note Counter, 4 pages - Japanese and Translation (undated)
WBS	J125	Brochure "DeLa Rue Systems, The processing of money and documents;" date: copyr. 1987 (See e.g. 3120 Currency Sorting Machine, p.3)
	J126	Brochure of Mosler Model CS 6600 Optical Currency Counter/Sorter, 4 pages, copyr. 1992
	J127	Chp. 7 of Mosler CF-420 Cash Management System, Operator's Manual© 1989
	J128	Currency System Intl'l, Mr. W. Kranster in Conversation With Richard Haycock; pages 1-5; dated: estimated 1994
	J129	Currency Systems International, CPS 1200; 4 pages; date: copyr. 1992
	J130	Currency Systems International, Medium Speed Currency Sorting Family, CPS 600 and CPS 900; 4 pages; date: copyr. 1994
WBS	J131	Currency Systems International/Currency Processing Systems, CPS 300; 4 pages; date: copyr. 1992
WBS	J132	De La Rue Systems: 2300 - Operating Instruction Manual, 3 pages (© 1986)
WBS	J133	De La Rue Systems: 2700VB brochure, 1 page (12/9/96)
WBS	J134	De La Rue: 2700 Currency Counting Machine - User Guide, Revision 1, 53 pages (8/26/99)
WBS	J135	Description of Currency Systems International's CPS 600 and CPS 900 devices; date: estimated 1994 -
	J136	Description of Toshiba-Mosler CF-420 Device; estimated 1989
	J137	Drawings of portions of Mosler CF-420 Cash Management System (FIGs. A-C) and description of the same (1989)
	J138	First Translation of Banking Machine Digest No. 31 (J119); last page dated 12/5/88)
	J139	First Translation of Billcon D-202, D204 Operator's Manual (J120); undated
	J140	First Translation of JP 56-136689 (I46); undated
	J141	First Translation of JP 61-14557 (I53); undated
	J142	G&D: CHP 50 User's Guide, 61 pages (3/98)
	J143	Glory GFB-200/210/220/230, Desk-Top Bank Note Counter; 2 pages; date: estimated before 8/9/94
	J144	Glory GFR-X Banknote Counter With Denomination Recognition; 3 pages; date: estimated 1/14/94
	J145	Glory GSA-500 Sortmaster brochure; 2 pages; date: 1/14/94
	J146	Glory Instruction Manual for GFR-100 Currency Reader Counter (8/15/95)
WBS	J147	Glory UF-1D brochure; 2 pages; date: estimated before 8/9/94
WBS	J148	Glory: GFB 700 Bank Note Counting Machine - Operating Instructions, 32 pages (9/98)

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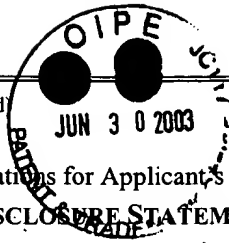
Form PTO-1449 (modified)	Atty. Docket No. 47171-00267USC1	Serial No. 09/541,170
List of Patents and Publications for Applicant's <b>7<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT</b>	Applicant Lars R. Stromme <i>et al.</i>	
Page 9 of 10	Filing Date: April 3, 2000	Group: 3652

WBS	J149	Glory: GSA-500 – Instruction Manual, 40 pages (prior to 7/98)
WBS	J150	Glory: GSA-500 – Operating Procedures, 9 pages (prior to 7/98)
WBS	J151	GSA-500 Sortmaster brochure; 4 pages; date: estimated 1/14/94
WBS	J152	Japanese Reference X and Statement of Relevance – 11 pages (undated)
	J153	JCM: BC-30 Bill Counter, 2 pages (date unknown, prior to 8/02)
WBS	J154	JCM: DBC-3 U.S. Dollar Bank Note Checker, 2 pages (date unknown, prior to 8/02)
WBS	J155	JetScan Currency Scanner/Counter, Model 4060, Operator's Manual by Cummins-Allison (8/91)
WBS	J156	JetScan Currency Scanner/Counter, Model 4061, Operating Instructions by Cummins-Allison (4/20/93)
	J157	JP "Banking Machine Digest, No. 31, 1989 – Digest and Translation
WBS	J158	Mosler Inc. brochure "The Mosler/Toshiba CF-420", 1989
WBS	J159	Mosler/Toshiba: Model CS-6600 Currency Handler brochure– "Let Mosler Help You Cut Costs Four Ways," 4 pages (© 1993)
WBS	J160	Mosler-Toshiba Currency Sorter CF-400 Series; 4 pages; date: copr. 1983
WBS	J161	Musashi Co.: New Generation-tellac-5 Series Compact Currency Counter, 2 pages (1988)
WBS	J162	Musashi Co.: Operation Manual for Maintenance and Learning Modes for Tellac-5, 5DD, SD, DDA, A & SSD, 13 pages (prior to 08/02)
WBS	J163	Operation Manual of the D-202, D-204 Mixed Paper Currency Counter of Billcon, Co., Ltd. – Translation (undated)
	J164	Sale of JetScan Currency Scanner/Counter, Model 4060 (8/91)
	J165	Sale of JetScan Currency Scanner/Counter, Model 4061 (4/20/93)
	J166	Second Translation of 61-14557 (I53) (Glory) (undated)
	J167	Second Translation of Banking Machine Digest No. 31 (J119) (Glory)
	J168	Second Translation of Billcon D-202, D204 Operator's Manual (J120) (Glory) (undated)
	J169	Second Translation of JP 56-136689 (I46) (Glory) (undated)
	J170	Service Manual of the D-202, D-204 Mixed Paper Currency Counter of Billcon Co., Ltd. – Translation (1989)
WBS	J171	Third Translation of Banking Machine Digest No. 31 (J119) (undated)
WBS	J172	Toshiba: CF-400 Series Fitness Sorter, 6 pages (estimated 1989 or earlier)
WBS	J173	Toshiba-Mosler Operator's Manual for CF-420 Cash Settlement System; pps 1, to C-3; copyr. 1989 (See eg. pp. 3-10; 4-10; and 5-7)
WBS	J174	Translation of Billcon D-202/204 Service Manual - (J121) (undated)

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Page 10 of 10	Filing Date: April 3, 2000	Group: 3652



MB	J175	Translation of DE 2659929 (10/23/01)
MB	J176	Translation of EP 0077464 A2 (undated)
	J177	Translation of EP 0342647 A2 (undated)
	J178	Translation of JP 2-12492 (undated)
	J179	Translation of JP 54-60999 (undated)
	J180	Translation of JP 54-71673 (undated)
	J181	Translation of JP 54-71674 (undated)
	J182	Translation of JP 55-52538 (undated)
	J183	Translation of JP 60-215293 (undated)
	J184	Translation of JP 61-41439 (undated)
	J185	Translation of JP 62-220843 (undated)
MB	J186	Translation of JP 63-276688 (undated)

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